

Please type a plus sign (+) inside this box ☐

Under the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 13414/314

First Inventor Paul Eusterbrock

Title FLOOR LAMP HAVING IMPROVED DIMMER
CONFIGURATION

Express Mail Label No. EV 149921894 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: MS Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-14501. ☒ *Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)2. ☒ Applicant claims small entity status. (See 37 CRF 1.27)3. ☒ Specification Total Pages (8)

(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D

- Reference to Microfiche Appendix

- Background of the Invention

- Brief Summary of the Invention

- Brief Description of the Drawings (if filed)

- Detailed Description

- Claim(s)

- Abstract of the Disclosure

4. ☒ Formal Drawing(s) (35 U.S.C. 113) Total Sheets (4)5. ☒ Oath or Declarationa. ☒ Unexecuted Declarationb. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))i. ☐ DELETION OF INVENTOR(S)Signed statement attached deleting inventor(s) named in the prior
application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).6. ☐ Application Data Sheet. See 37 CFR 1.767. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)8. Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)a. ☐ Computer Readable Copy

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); orii. ☐ Paperc. ☐ Statements verifying identity of above copies**ACCOMPANYING APPLICATION PARTS**9. ☐ Assignment Papers (cover sheet & document(s))10. ☐ 37 C.F.R. § 3.73(b) Statement of Power of
(when there is an assignee) Attorney11. ☐ English Translation Document (if applicable)12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations13. ☐ Preliminary Amendment14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)16. ☐ Other: _____17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application
Data Sheets under 37 CFR 1.76:☐ Continuation☐ Divisional☐ Continuation-in-part (CIP)

of prior application no.: _____

Prior application information: Examiner: _____

Group / Art Unit: _____

For CONTINUATION or DIVISIONAL only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is
considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation
can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**18. CORRESPONDENCE ADDRESS**☒ Customer No. or Bar Code Label

34205

or ☐ Correspondence address below

(insert Customer No. or Attach bar code label here)

Address Oppenheimer Wolff & Donnelly LLP
45 South Seventh Street, Suite 3300

City Minneapolis

State

Minnesota

Zip Code

55402-1609

Country US

Telephone

612.607.7398

Fax

612.607.7100

Name (Print/Type) Craig J. Lervick

Registration No. (Attorney/Agent)

35,244

Signature



Date

June 20, 2003

FEE TRANSMITTAL

for FY 2003

Patent fees are subject to annual revision.

Complete if Known

| | |
|----------------------|------------------|
| Application Number | |
| Filing Date | Herewith |
| First Named Inventor | Paul Eusterbrock |
| Examiner Name | |
| Group Art Unit | |

| | | | |
|-------------------------|----------|----------------------|-----------|
| TOTAL AMOUNT OF PAYMENT | \$375.00 | Attorney Docket No.: | 13414/314 |
|-------------------------|----------|----------------------|-----------|

METHOD OF PAYMENT

1. ☒ Authorized to charge indicated fees or credit

| | |
|------------------------|---|
| Deposit Acct No. Name: | 50-1901 Oppenheimer Wolff & Donnelly LLP |
|------------------------|---|

☒ Charge Any Add'l Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant Claims Small Entity

2. ☐ Payment Enclosed:

Check ☐ Credit

FEE CALCULATION

1. BASIC FILING FEE

| Large Fee | Small Fee | Fee description | Fee Paid |
|--------------|-----------|------------------------|----------|
| 750 | 375 | Utility filing fee | \$375.00 |
| 330 | 165 | Design filing fee | |
| 520 | 260 | Plant filing fee | |
| 750 | 375 | Reissue filing fee | |
| 160 | 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | \$375.00 |

2. EXTRA CLAIM FEES

| Claims | Extra Claims | Fee | Fee Paid |
|--------------------|--------------|---------|----------|
| 16 - 20 | 0 | 18/9 | \$0.00 |
| Ind. 2-3 = | 0 | 84/42 | \$0.00 |
| Multiple Dependent | | 280/140 | |

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description |
|-----------------------|-----------------------|--|
| 18 | 9 | Claims in excess of 20 |
| 84 | 42 | Independent claims in excess of 3 |
| 280 | 140 | Multiple dependent claim |
| 84 | 42 | ** Reissue independent claims over original patent |
| 18 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$0.00

**or number previously paid, if great; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|-----------------------|-----------------------|--|----------|
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | Filing a request for <i>ex parte</i> reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,480* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1252 | 410 | 2252 | 205 | Extension for reply within second month | |
| 1253 | 930 | 2253 | 465 | Extension for reply within third month | |
| 1254 | 1,450 | 2254 | 725 | Extension for reply within fourth month | |
| 1255 | 1,960 | 2255 | 985 | Extension for reply within fifth month | |
| 1401 | 320 | 2401 | 160 | Notice of Appeal | |
| 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | |
| 1403 | 280 | 2403 | 140 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| 1453 | 1,300 | 2453 | 640 | Petition to revive - unintentional | |
| 1501 | 1,280 | 2501 | 640 | Utility issue fee (or reissue) | |
| 1502 | 460 | 2502 | 230 | Design issue fee | |
| 1503 | 620 | 2503 | 310 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 740 | 2809 | 370 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 | 740 | 2810 | 370 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 | 750 | 2801 | 375 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |

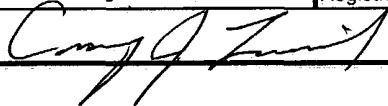
Other fee (specify)

*Reduced by Basic Filing Fee

SUBTOTAL (3) \$0.00

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-----------|---|-------------------|---------------|------------|----------------|
| Name | Craig J. Lervick | Registration No.: | 35,244 | Telephone: | (612) 607-7387 |
| Signature |  | Date: | June 20, 2003 | | |

UTILITY PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Paul Eusterbrock
Filed : Herewith
Title : FLOOR LAMP HAVING IMPROVED
DIMMER CONFIGURATION
Docket No : 13414/314

CERTIFICATE OF EXPRESS MAIL

EXPRESS MAIL Mailing Label Number: EV 159921894 US
Date of Deposit: June 20, 2003

I hereby certify that these papers and fee(s) are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Paper(s) Enclosed:

- ☒ Certificate of Express Mail
- ☒ Postcard
- ☒ Application Transmittal
- ☒ Fee Transmittal
- ☒ Unexecuted Declaration & Power of Attorney
- ☒ Utility Application, including: specification (4 pgs.), claims (3 pgs.) and abstract (1)
- ☒ Formal Drawings (4 sheets)

By: _____

Janet Byrne
Janet Byrne, Secretary to Craig J. Lervick
OPPENHEIMER WOLFF & DONNELLY LLP
45 South Seventh Street, Suite 3300
Minneapolis, Minnesota 55402
Telephone: (612) 607-7387